

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                                 |   |                               |
|---------------------------------|---|-------------------------------|
| In re Application of:           | : |                               |
|                                 | : |                               |
| Albert Kwang-Hwa Sun            | : | Group Art Unit: 3693          |
|                                 | : |                               |
| Appln. No.: 09/495,393          | : |                               |
|                                 | : | Examiner: Weisberger, Richard |
| Filed: January 31, 2000         | : |                               |
|                                 | : |                               |
| For: SYSTEM AND METHOD FOR      | : |                               |
| INTEGRATING TRADING OPERATIONS: | : | Confirmation No. 4790         |
| INCLUDING THE GENERATION,       | : |                               |
| PROCESSING AND TRACKING OF AND: | : |                               |
| TRADE DOCUMENTS                 | : |                               |

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT/RESPONSE**

Sir:

In response to the Office Action dated September 8, 2006, please amend the above-identified patent application as follows: